

L6 ANSWER 67 OF 113 USPATFULL
United States Patent

Patent Number: 5809483
Date of Patent: 15 Sep 1998

Online transaction processing system for bond trading

Inventor(s): Broka, S. William, 4401 Dolphin La., Alexandria, VA, United
States 22309
Walsh, Michael P., 183 Sarles La., Pleasantville, NY, United
States 10570
Clowney, Deborah M., 305 W. 98th St., New York, NY, United

States

10025

Stewart, Walter E., 481 Jersey Ave., Apt. #3, Jersey City, NJ,
United States 07302

DiSisto, Daniel R., 85 Sherwood Rd., Easton, CT, United States
06612

Weimer, Beth E., 730 Azalea Dr., Rockville, MD, United States
20850

Callan, Eugene A., 6 Willow La., Campbell Hall, NY, United

States

10916

Reiss, Robert N., 9928 Kentsdale Dr., Potomac, MD, United States
20854

DuMont, Mark D., 67 Chestnut Hill, Glen Ridge, NJ, United States
07028

Appl. No.: 97-970435

Filed: 14 Nov 1997

Related U.S. Application Data

Continuation of Ser. No. US 1994-242646, filed on 13 May 1994, now abandoned

Int. Cl. G06F017-60

Issue U.S. Cl. 705/037.000

Current U.S. Cl. 705/037.000

Field of Search 705/35; 705/36; 705/37; 705/38; 705/39

Reference Cited

PATENT DOCUMENTS

Patent Number	Date	Class	Inventor
US 4903201	Feb 1990	364/408.000	Wagner et al.
US 5003473	Mar 1991	364/408.000	Richards
US 5077665	Dec 1991	364/408.000	Silverman
US 5101353	Mar 1992	364/408.000	Lupien et al.
US 5227967	Jul 1993	364/408.000	Bailey
US 5230048	Jul 1993	395/600.000	Moy
US 5231571	Jul 1993	364/408.000	D'Agostino
US 5245535	Sep 1993	364/407.000	Weiss et al.
US 5270922	Dec 1993	364/408.000	Higgins
US 5375055	Dec 1994	364/408.000	Tougher et al.

OTHER PUBLICATIONS

"NASD to launch junk bond pricing system," Wall Street and Technology, v10,
n10
p. 12, May 1993.

Mitchell, Constance, "Hourly Price Quotes on some Junk Bonds Planned in Bid to
Curb Unruly Trading" The Wall Street Journal, Sec. C, P. 1, Nov. 11, 1992.

"NASD Submits Plan for Junk Bond Price-quote System to Regulators," Knight
Ridder, Nov. 10, 1992.

Art Unit - 271

Primary Examiner - Cosimano, Edward R.

Assistant Examiner - Bainbridge, Barton L.

Attorney, Agent or Firm - Finnegan, Henderson, Farabow, Garrett & Dunner,
L.L.P.

23 Claim(s), 65 Drawing Figure(s), 49 Drawing Page(s)

ABSTRACT

A system for monitoring information about debt securities and reporting trades in the debt securities market. The system includes user stations and a host processor. Users input **data requests** and **trade** *****information***** to the user stations which transmit the **requests** and **trade information** to the host processor. The host processor processes **information** about the **trades** and *****requests***** for information using databases which contain various types of information about the debt securities and users. The host processor transmits processed **trade information** and **requested** information to the user stations which present the information to the users.